

EXPRESS MAIL CERTIFICATE

Express Mail" Label No.

EV 032 108 281 US

Serial No.

09/836,746

Applicant(s)

Andrew Patron et al.

Filing Date

April 17, 2001

Title:

PROTEIN EXPRESSION SYSTEM ARRAYS

AND USE IN BIOLOGICAL SCREENING

Examiner

Unknown

Group Art Unit

1645

Type of Document(s)

Transmittal Form

Supplemental Information Disclosure Statement

(in duplicate) PTO/SB/08A References: 3

Return Postcard

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Malle Leonard (signature)

Date of Deposit: _/-3-03

41305-253159 WINLIB01:985608.1 Please the period of the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name Cynthia B. Rothschild, Esq. Reg. No. 47,040 Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United Stats Postal Service s first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name MAILED VIA EXPRESS MAIL	<u>&</u>]							
Seponse to Missing Parts Incomplete Application Response to Missing Parts under 37 CPR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Signature Signature	MARKOR TRA	NSMIT	ΓΤΔΙ			Application N	umber	09/836,746
Seponse to Missing Parts Incomplete Application Response to Missing Parts under 37 CPR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Signature Signature	FORM					Filing Date		April 17, 2001
Seponse to Missing Parts Incomplete Application Response to Missing Parts under 37 CPR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Signature Signature					l filing)	First Named In	nventor	Andrew Patron
Seponse to Missing Parts Incomplete Application Response to Missing Parts under 37 CPR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Signature Signature	(to so used for all correspondence after fitted filling)			Group Art Unit		1645 JAN		
Seponse to Missing Parts Incomplete Application Response to Missing Parts under 37 CPR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Signature Signature						Examiner Nam	e	Unknown OLI CEN
Seponse to Missing Parts Incomplete Application Response to Missing Parts under 37 CPR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Signature Signature			Submiss	ion	8	Attorney Docke	et Number	TECH
Fee Transmittal Form	(including Transmitte	al Form)		ENC	OSUB	ES (abook all th	hat analy)	41305-253159
Fee Attached				ENCI	LUSUK	ES (Check all ti	тас арріу)	
□ Fee Attached □ Amendment / Response □ After Final □ Affidavits/declaration(s) □ Extension of Time Request □ Express Abandonment Request □ Supplemental Information Disclosure Statement (in duplicate) □ Certified Copy of Priority □ Document(s) □ Response to Missing Parts/ Incomplete Application Parts/ Incomplete Application □ Response to Missing Parts/ Incomplete Application Parts/ Incomplete Application Parts/ Incomplete Applica	☐ Fee Transmittal Fo	m						
Amendment / Response	☐ Fee Attached			_ `	• • •	•		
After Final	☐ Amendment / Resp	ponse			• • •	•		
Affidavits/declaration(s)	☐ After Final				_	related Papers		☐ Appeal Communication to Group
Extension of Time Request	☐ Affidavits/declar	ration(s)		☐ Pe	≱tition			(Appeal Notice, Brief, Reply Brief)
□ Express Abandonment Request □ Supplemental Information Disclosure Statement (in duplicate) □ Certified Copy of Priority Document(s) □ Response to Missing Parts/ Incomplete Application □ Response to Missing Parts under 37 CFR 1.52 or 1.53 □ SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Signature Signa		` ,						☐ Proprietary Information
Supplemental Information Disclosure Statement (in duplicate) □ Certified Copy of Priority Document(s) □ Response to Missing Parts/ Incomplete Application □ Response to Missing Parts under 37 CFR 1.52 or 1.53 ■ SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name □ Cynthia B. Rothschild, Esq. Reg. No. 47,040 Signature □ Certificate OF MAILING □ Cynthia B. Rothschild, Esq. Reg. No. 47,040 Signature □ Certificate OF MAILING □ Cynthia B. Rothschild (Esq. Reg. No. 47,040) ■ Certificate OF MAILING □ Cynthia B. Rothschild (Esq. Reg. No. 47,040) □ Certificate OF MAILING □ Cynthia B. Rothschild (Esq. Reg. No. 47,040) ■ Certificate OF MAILING □ Cynthia B. Rothschild (Esq. Reg. No. 47,040) ■ Certificate OF MAILING □ Cynthia B. Rothschild (Esq. Reg. No. 47,040) ■ Certificate OF MAILING □ Cynthia B. Rothschild (Esq. Reg. No. 47,040) ■ Certificate OF MAILING □ Cynthia B. Rothschild (Esq. Reg. No. 47,040) ■ Certificate OF MAILING □ Cynthia B. Rothschild (Esq. Reg. No. 47,040) ■ Certificate OF MAILING □ Cynthia B. Rothschild (Esq. Reg. No. 47,040) ■ Certificate OF MAILING □ Cynthia B. Rothschild (Esq. Reg. No. 47,040) ■ Certificate OF MAILING □ Cynthia B. Rothschild (Exp. Reg. No. 47,040) ■ Certificate OF MAILING □ Cynthia B. Rothschild (Exp. Reg. No. 47,040) ■ Certificate OF MAILING □ Cynthia B. Rothschild (Exp. Reg. No. 47,040) ■ Certificate OF MAILING □ Cynthia B. Rothschild (Exp. Reg. No. 47,040) ■ Certificate OF MAILING □ Cynthia B. Rothschild (Exp. Reg. No. 47,040) ■ Certificate OF MAILING □ Cynthia B. Rothschild (Exp. Reg. No. 47,040) ■ Certificate OF MAILING □ Cynthia B. Rothschild (Exp. Reg. No. 47,040) ■ Certificate OF MAILING □ Cynthia B. Rothschild (Exp. Reg. No. 47,040) ■ Certificate OF MAILING □ Cynthia B. Rothschild (Exp. Reg. No. 47,040) ■ Certificate OF MAILING □ Cynthia B. Rothschild (Exp. Reg. No. 47,040) ■ Certificate OF MAILING □ Cynthia B. Rothschild (Exp. Reg. No. 47,040) ■ Certificate OF MAILING □ Cynthia B. Rothschild (Exp. Reg. No. 47				• •	ion	☐ Status Letter		
Statement (in duplicate) Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Remarks SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Or Individual name Signature CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United Stats Postal Service s first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Terminal Disclaimer Form PTO/SB/08A with Three (3) References Attached Express Mail Centificate – EV 032 108 281 US Return Postcard Response to Missing Parts under 37 CFR	Change of			Correspondence	Address			
Certified Copy of Priority Request for Refund Express Mail Certificate - EV 032 108 281 US Return Postcard)isclaimer		Form PTO/SB/08A with			
Document(s) Response to Missing Parts/ Incomplete Application Remarks Remarks SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Cynthia B. Rothschild, Esq. Individual name Reg. No. 47,040 Signature CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United Stats Postal Service s first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name MAILED VIA EXPRESS MAIL	☐ Certified Copy of Priority ☐ Request for		r Refund		Express Mail Certificate – EV 032 108 281 US			
Response to Missing Parts/Incomplete Application Remarks Remarks SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Or Individual name Reg. No. 47,040 Signature CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United Stats Postal Service s first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name MAILED VIA EXPRESS MAIL	Document(s)							
Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Or Individual name Cynthia B. Rothschild, Esq. Reg. No. 47,040 Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United Stats Postal Service s first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name MAILED VIA EXPRESS MAIL								, totali, i ostoaro
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Cynthia B. Rothschild, Esq. Reg. No. 47,040 Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United Stats Postal Service s first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name MAILED VIA EXPRESS MAIL				Rema	ırks			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Cynthia B. Rothschild, Esq. Reg. No. 47,040 Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United Stats Postal Service s first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name MAILED VIA EXPRESS MAIL	Parts under 37 (ŀ					
Firm or Cynthia B. Rothschild, Esq. Reg. No. 47,040 Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United Stats Postal Service s first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name MAILED VIA EXPRESS MAIL	1.52 or 1.53							
Firm or Cynthia B. Rothschild, Esq. Reg. No. 47,040 Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United Stats Postal Service s first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name MAILED VIA EXPRESS MAIL			SIGNATI	IRE O	E ADDI	ICANT ATTO	DNEV OB	ACENT
Cynthia B. Rothschild, Esq. Reg. No. 47,040 Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United Stats Postal Service s first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name MAILED VIA EXPRESS MAIL	Firm		SIGNATO	JIKE O		LIOANT, ATTO	IXIVET, OK	AGENT
Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United Stats Postal Service's first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name MAILED VIA EXPRESS MAIL	or			ild, Es	q.			
Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United Stats Postal Service's first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name MAILED VIA EXPRESS MAIL	Individual name	Reg. No. 4	17,040	1	111	///		
CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United Stats Postal Service's first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name MAILED VIA EXPRESS MAIL	Signature	Coll		5	NH			
CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United Stats Postal Service's first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name MAILED VIA EXPRESS MAIL	Date	Jan	3,	200	3			
Addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: MAILED VIA EXPRESS MAIL				С	ERTIFI	CATE OF MAII	LING	
Typed or printed name MAILED VIA EXPRESS MAIL	I hereby certify that this	s correspond	dence is b					Service s first class mail in an envelope
MAILED VIA EXPRESS MAIL			ioner for P	Patents,	Washin	gton, D.C. 20231	on this date	e:
	Typed or printed nan		AILED VI	A FXP	RESS N			
	Signature						Date	, [

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

41305-253159 WINLIB01:933731.1



EV 032 108 281 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE RECEIVED JAN 0.7 2003 TECH CENTER 1600/2900

Applicant

Andrew Patron, et al.

Ser. No.

09/836,746

Filing Date

April 17, 2001

For

Protein Expression System Arrays And Use In

Biological Screening

Examiner

Unknown

Art Unit

1645

ASSISTANT COMMISSIONER FOR PATENTS

Attn: Box DD

Washington, DC 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

SIR:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the three (3) references listed on the attached Form PTO/SB/08A (formerly 1449). A copy of each of the references listed on the attached form is numbered and identified and is submitted herewith.

It is respectfully requested that the references listed on the attached form be expressly considered by the Examiner and be made of record in the application and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being submitted prior to the mailing of a first action on the merits in the present application. Accordingly, it is believed that no fees are due for consideration of this Information Disclosure Statement. However, should any fees be due, the Assistant Commissioner is authorized to charge such fees to Deposit Account 16-1435. A duplicate of this sheet is attached for that purpose.

Respectfully submitted,

Date: January 3, 2003

By:

ynthia B. Rothschild

Reg. No. 47,040

KILPATRICK STOCKTON LLP

1001 West Fourth Street

Winston-Salem, North Carolina 27101

Phone: (336) 747-7541

Facsimile: (336) 607-7500 Attorney Docket No.: 41305-253159

41305-253159 WINLIB01:985611.1

PTO/SB/O8A (08-00)
Approved for use through 10/31/2002, OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

JAN 0 3 2003

Sheet

ute for form 1449APTO

plus sign (+) inside this box

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

1 of 2

Complete if Known				
Application Number	09/836,746			
Filing Date	April 17, 2001			
First Named Inventor	Andrew Patron			
Group Art Unit	1645			
Examiner Name	Unknown			
Attorney Docket Number	41305-253159			
Express Mail Certificate	EV 032 108-281			

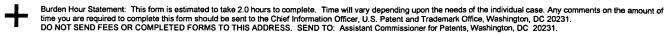
`			U.S. PA	TENT DOCUMENTS			
U.S. Patent Document							
Examiner Initials*	Cite No.1	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	
	+						
						UNIED	
						RECEIVE	
						7003	
						DAN O'T	
						JAN CENTER 18	
		1				ECH CENICI.	
						JEON,	
					1		

Office ³	Number ⁴	Kind Code ² (if known)					Pages, Columns, Lines,	
14/0			Name of Patentee or Applicant of Cited Document		Date of Publication of Cited Document MM-DD-YYYY		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
wo	02/39120	A		BIONOVA PHARMACEU- TIALS, INC		5-16-02		7
wo	99/10485	A	-	SELECTIVE GENETICS INC.		03-04-99		~
EP	0 816 511	Α	,	KNOELL HANS FORSCHUNG		01-07-98		~
								_
					TIALS, INC WO 99/10485 A SELECTIVE GENETICS INC. EP 0 816 511 A KNOELL HANS	TIALS, INC WO 99/10485 A SELECTIVE GENETICS INC. EP 0 816 511 A KNOELL HANS	TIALS, INC	TIALS, INC

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformation and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



DTO/S	DIOGA .	100 M
FIUIS	B/08A	(UO-U

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for for 449АРТО

Sheet

t) inside this box

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

2 of 2

Complete if Known				
Application Number	09/836,746			
Filing Date	April 17, 2001			
First Named Inventor	Andrew Patron			
Group Art Unit	1645			
Examiner Name	Unknown			
Attorney Docket Number	41305-253159			
Express Mail Certificate	EV 032 108-281			

Examiner nitials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
			+-
		PECEN JAN 07 TECHCEN	CO
		-ECEN	
		RES	500
		U MAL	2019
		a) CEN	EH.
		TECHO	

Signature Considered			
Signature Considered	Examiner	Date	
	Signature		

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

41305-253159 WINLIB01:985607.1

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformation and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.